



**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Robert G. Biskeborn et al.

Application No. 09/938,458

Filed: 08/23/2001

For: IMPROVEMENTS FOR THIN-FILM TAPE  
HEAD PROCESSES

)  
 ) Examiner: Not Assigned  
 )  
 ) Art Unit: 2652  
 )  
 ) Atty. Docket No. SJO9-2000-0201US1/  
 ) IBM1P007  
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 )  
 ) Date: November 26, 2001  
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 )  
 ) CERTIFICATE OF MAILING

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 26, 2001.

Signed: Erica L. Mann

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

## Commissioner for Patents

### Box: Missing Parts

Washington, D.C. 20231

Sir:

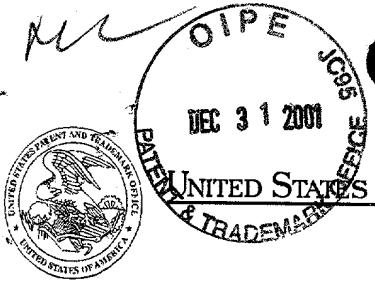
In response to the Notice to File Missing Parts of Application--Filing Date Granted dated November 26, 2001, Applicants hereby attach an executed Declaration and Power of Attorney, an Assignment document, an Assignment Recordation Cover Sheet, 11 Substitute Drawings Sheets (Figures 1 through 12) and the copy of the Notice to be returned with this response.

Applicants are also attaching Check No. 721 for \$170.00 in payment of the surcharge, and assignment recordation fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-1351 (Order No. IBM1P007). A copy of this sheet is enclosed for billing purposes.

Respectfully submitted,  
Silicon Valley IP Group

Kevin J. Zilka  
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~~SECRET~~

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,458	08/23/2001	Calvin Lo	SJ09-2000-0201US1/IBM1P00

28875  
SILICON VALLEY INTELLECTUAL PROPERTY GROUP  
P.O. BOX 721120  
SAN JOSE, CA 95112

CONFIRMATION NO. 2228

## FORMALITIES LETTER



\*OC000000006795441\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE